						Sheet		3	
Modified Form	PTO-	1449		Atty. Docket No. A-444A	Serial No) 377	Be Assigned •	· 🛓	
LIST OF	REF	FERENCES CITED BY A	APPLICANT	Applicants	1 12 17	· · · /	A.	2	
	(Use several sheets if necessary)		Magal et al.	Group		, <u>~</u>	5	
				August 19, 1999			—————————————————————————————————————	2	
				ENT DOCUMENTS			10 C	2	
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLA	FILING DATES		
AMD.	AA	4,518,584	May 21, 1985	Mark, et al.					
	АВ	5,106,627	Apr 21, 1992	Aebischer, et al.					
	AC	5,653,975	Aug 5, 1997	Baetge et al.					
AMA	AD	5,658,785	Aug 19, 1997	Johnson					
NITIO	<u> </u>	<u> </u>	FOREIGN PA	ATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-	TRANSLATION YES NO		
Anab	ВА	EP 401384	Dec 12, 1990	EPO				\exists	
11.11	ВВ	WO 91/10470	Jul 25, 1991	PCT				\blacksquare	
	вс	WO 93/06116	Apr 1, 1993	PCT		1/1			
	BD	WO 95/26408	Oct 5, 1995	PCT					
	BE	WO 97/08196	Mar 6, 1997	PCT					
	BF	WO 97/19693	Jun 5, 1997	PCT					
AMA	BG	WO 97/30722	Aug 28, 1997	PCT					
	(OTHER DOCUMENT	S (Including	Author, Title, Date, Pertin	nent Page	s, Et	c.)		
Amh	СА	Adams et al. (1989), 'Dea 246.	afness, Dizziness,	and Disorders of Equilibrium', P	rinciples of l	Veurol	ogy Ch. 14:226-		
/// //////////////////////////////////	СВ	Aebischer et al. (1991), 'I		-Species Brain Transplantation of	a Polymer-E	ncapsi	ulated Dopamine	e-	
	CC	Secreting Cell Line', Expension Applied at al. (1991), 'Nerv		59-275. Prevents Toxic Neuropathy in Mic	ce', Ann. Neu	rol. 29):87-90.	$-\parallel$	
								\dashv	
\perp	CD	Choi-Lundberg et al. (1997), 'Dopaminergic Neurons Protected from Degeneration by GDNF Gene Therapy' Science 275:838-841.							
	CE	Cunningham and Wells (1989), 'High-Resolution Epitope Mapping of hGH-Receptor Interactions by Alanine-Scanning Mutagenesis', Science 244:1081-1085.							
1	CF	Dayhoff (1972), Atlas of Protein Sequence and Structure 5:124.							
1	CG	Ernfors et al. (1995), 'Complementary Roles of BDNF and NT-3 in Vestibular Auditory Development', Neuron							
 	СН	14:1153-1164. Gao et al. (1995), 'Neurotrophin-4/5 Enhances Survival of Cultured Spiral Ganglion Neurons and Protects Them							
1	"	from Cisplatin Neurotoxicity', J. Neurosci. 15(7):5079-5087.							
		Hefti (1986), 'Nerve Growth Factor Promotes Survival of Septal Cholinergic Neurons After Fimbrial Transections', <i>Neurosci.</i> 6:2155-2162.							
	CI	Transections', Neurosci.	<u>5</u> :2155-2162.		Hefti (1994), 'Neurotrophic Factor Therapy for Nervous Sytem Degenerative Diseases', J. Neurobiol. 25:1418-				
Ams	L	Transections', Neurosci. 9 Hefti (1994), 'Neurotroph	5:2155-2162. nic Factor Therapy	y for Nervous Sytem Degenerative	e Diseases',	I. Neur	obiol. <u>25</u> :1418-		
AMD EXAMINER:	L	Transections', Neurosci.	5:2155-2162. nic Factor Therapy	y for Nervous Sytem Degenerative Date Considered:	e Diseases',	I. Neur	obiol. <u>25</u> :1418-		

Γ	
1:	النشاء
- 14	勝リ
٦	

Sheet 2 Of

Modified Form PTO-1449

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

		<u> </u>				
Atty. Docket No.		Serial No. To Be Assigned				
	A-444A	Serial No. To Be Assigned				
Applicant		· 11311				
	Magal et al.					
Filing Date		Group				
	August 19 1999					

EXAMINER	٠,
BUTIALO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

r						
Ams		DA	Higuchi (1989) 'Using PCR to Engineer DNA', PCR Technology, Principles and Applications for DNA Amplification Ch.6:61-70.			
///	-					
		DB	Hyman et al. (1991), 'BDNF is a neurotrophic factor for dopaminergic neurons of the substantia nigra', <i>Nature</i> 350:230-232.			
		DC	Kelley (1997), 'Cellular Commitment and Differentiation in the Cochlea: Potential Advances Using Gene			
			Transfer' Audiol. Neurootol. 2:50-60.			
		DD	Knusel et al. (1992), 'Brain-derived Neurotrophic Factor Administration Protects Basal Forebrain Cholinergic but			
1 }		UU	Not Nigral Deopaminergic Neurons from Degenerative Changes after Axotomy in the Adult Rat Brain', J.			
] }		Neurosci. 12(11):4391-4402.				
		DE	Koliatsos et al. (1993), 'Evidence That Brain-Derived Neurotrophic Factor Is a Trophic Factor for Motor Neurons			
i I	1	DE	In Vivo', Neuron 10:359-367.			
		- DE	Korsching (1993), 'The Neurotrophic Factor Concept: A Reexamination', J. Neurosci. 13(7):2739-2748.			
		DF	Korsching (1995), The Neurotrophic Factor Concept: A Reexamination, J. Neurosci. 13(1):2139-2148.			
{		DG	Kotzbauer et al. (1996), 'Neurturin, a relative of glial-cell-line-derived neurotrophic factor', <i>Nature</i> 384:467-470.			
			With a late is a set of (1005) ITCE 0 and a family an arrange and a seminal of middle in december and a			
	ľ	DH	Krieglstein et al. (1995), 'TGF-ß superfamily members promote survival of midbrain dopaminergic neurons and			
			protect them against MPP ⁺ toxicity', EMBO J. <u>14</u> :736-742.			
1 1		DI	Lefebvre et al. (1994), 'Neurotrophins affect survival and neuritogenesis by adult injured auditory neurons in			
			vitro', NeuroReport <u>5</u> :865-868.			
		DJ	Lin et al. (1993), 'GDNF: A Glial Cell Line-Derived Neurotrophic Factor for Midbrain Dopaminergic Neurons',			
			Science <u>260</u> :1130-1132.			
		DK	Lindner et al. (1995), 'Implantation of Encapsulated Catecholamine and GDNF-Producing Cells in Rats with			
	Unilateral Dopamine Depletions and Parkinsonian Symptoms' Exp. Neuro. 132:62-76					
		DL	Lousteau (1987), 'Increased Spiral Ganglion Cell Survival in Electrically Stimulated, Deafened Guinea Pig			
	Cochleae' Laryngoscope 97:836-842.					
		DM	Malik et al. (1992), 'Polyethylene Glycol (PEG)-modified Granulocyte-Macrophage Colony-stimulating Factor			
			(GM-CSF) with Conserved Biological Activity', Exp. Hematol. 20:1028-1035.			
		DN	Matheson et al. (1995), 'THE IN VIVO RESPONSES OF NEONATAL RAT DORSAL ROOT GANGLION			
			NEURONS TO NEUROTROPHINS AND GDNF', Soc. Neurosci. Abstr. 21:544.			
1		DO	Mullins et al. (1990), 'Ophthalmic Preparations', Remington's Pharmaceutical Sciences, 18th Ed, Ch. 86:1581-			
			1595.			
T		DP	Nadol (1981) 'The Aging Peripheral Hearing Mechanism', Aging: Communication Processes and Disorders Ch.			
			4:63-85.			
]	I	DQ	Nadol (1993), 'Hearing Loss', New England J. of Medicine 329:1092-1102.			
-			Oppenheim et al. (1995), 'Developing motor neurons rescued from programmed and axotomy-induced cell death			
1		DR				
			by GDNF', <i>Nature</i> <u>373</u> :344-346.			
		DS	Pfingst, et al. (1981), 'Relation of Psychophysical Data to Histopathology in Monkeys with Cochlear Implants'			
			Acta Otolaryngol 92:1-13.			
]	DT	Pirvola et al. (1992), 'Brain-derived neurotrophic factor and neurotrophin 3 mRNAs in the peripheral target fields			
 			of developing inner ear ganglia', <i>Proc. Natl. Acad. Sci. USA</i> <u>89</u> :9915-9919. Poulsen et al. (1994), 'TGFß2 and TGFß3 Are Potent Survival Factors for Midbrain Dopaminergic Neurons',			
l son		DU				
EVAMIN			Neuron 13:1245-1252. Date Considered: 1 /			
EXAMIN	En.		Am T			
			14/11			
I CVALLET). In:Mail 14	-14-41	second and whether are not citation is in conformance with MED COO. Draw line through citation if not in conformance and not described. Include conv. of this form with next			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

				Sileel 3 Oi 3		
Modified Form PTO-1449			Atty. Docket No. A-444A	Serial No. To Be Assigned		
LIST OF REFERENCES CITED BY APPLICANT			Applicants Magal et al.			
	(Use several sheets if necessary)	Filing Date	Group		
	,		August 19, 1999			
And	EA EA	<u>207</u> :137-141.	ated gene transfer into guinea pig cochlear cells in vivo' Neuro Ltrs			
	EB	Hearing Res. <u>73</u> :92-100.	and neurotrophin receptor mRNA expression in developing inner ear',			
	EC	Schuknecht (1974) Pathology of the Ear pgs 388-403.				
	ÉD	Spoendlin (1984), 'Primary Neurons and Synapses', Ultrastructural Atlas of the Inner Ear Ch. 6:133-164.				
	EE	Staecker, et al. (1995) 'NT-3 combined with CNTF promotes survival of neurons in modiolus-spiral ganglion explants', <i>Neuroreport</i> , 6(11):1533-1537.				
	EF	Staecker, et al. (1996), 'NT-3 and/or BDNF therapy prevents loss of auditory neurons following loss of hair cells' <i>NeuroReport</i> 7:889-894.				
	EG	Tresco et al. (1992), 'Polymer Encapsulat	ed Neurotransmitter Secreting Cells', A	SAIO <u>38</u> :17-23.		
	EH	Trupp et al. (1995), 'Peripheral Expression and Biological Activities of GDNF, a New Neurotrophic Factor for Avian and Mammalian Peripheral Neurons', J. Cell Biol. 130:137-148.				
	EI	Weiss et al. (1997), 'Viral-Mediated Gene Transfer in the Cochlea' Int. J. Devl Neuroscience 4/5:577-583.				
	EJ	Wheeler et al. (1994), 'Expression of BDNF and NT-3 mRNA in hair cells of the organ of Corti: Quantitative analysis in developing rats', <i>Hearing Res.</i> 73:46-56.				
	EK	Wilson et al. (1980), 'The Efficacy of Ste Otolaryngol 106:772-776.	-	_		
	EL	Winn et al. (1991), 'Behavioral Recovery Exper. Neurol. 113:322-329.	following Intrastriatal Implantation of	Microencapsulated PC12 Cells',		
	ЕМ	Yan et al. (1992), 'Brain-derived neurotro death', <i>Nature</i> 360:753-755.	phic factor rescues spinal motor neuro	ns from axotomy-induced cell		
	EN	Yan et al. (1995), 'In vivo neurotrophic ef <u>373</u> :341-344.	fects of GDNF on neonatal and adult f	acial motor neurons', Nature		
Amb	E0	Zheng, et al. (1995) 'Neurotrophin-4/5, B of Cultured Vestibular Ganglion Neurons <i>Neurobiology</i> , 18(3):330-340.				
	EP					
	EQ					
	ER					
	ES					
	ET					
	EU					
EXAMINER:		Amo	Date Considered:	0/2/00		

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.